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2/21/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KERIMCAN ENGIN, ROBERT OSBORNE

Serial No.: 09/589,253

Filed: June 7, 2000

For: METHOD AND SYSTEM FOR EXCHANGE OF  
FINANCIAL INVESTMENT ADVICE

Group Art Unit: 3624

Examiner: Narayanswamy Subramanian

Atty. Dkt. No.: 06809.0002.NPUS00

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GROUP 3600

**AMENDMENT AND RESPONSE TO OFFICE ACTION OF NOVEMBER 6, 2002**

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Office Action of November 6, 2002, please amend the above-identified application as follows:

**IN THE CLAIMS:**

Cancel claims 1-10 and claim 18 without prejudice or disclaimer.

Amend the following claims:

11. (Amended) A system for providing investment advice to investors over a computer network, comprising:

Q1  
SMB